

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/820,053
	Filing Date	March 28, 2001
	First Named Inventor	Donald R. Owen
	Group Art Unit	1646
	Examiner Name	Marcus Kirk
Total Number of Pages in This Submission	Attorney Docket Number	11181.0027.NPUS00

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO-Form 1449 w/cited references;
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	RECEIVED JUL 25 2003 TECH CENTER 1600/2900
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Christopher J. Buntel, Ph.D.; Howrey Simon Arnold & White, LLP Reg. No. 44,573; Customer No. 23369
Signature	
Date	July 21, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231; on this date: July 21, 2003			
Typed or printed name	Christopher J. Buntel, Ph.D.		
Signature		Date	July 21, 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Donald R. Owen

Serial No.: 09/820,053

Confirmation No.: 3080

Filed: March 28, 2001

For: SHORT BIOACTIVE PEPTIDES

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Group Art Unit: 1653

Examiner: Karen Cochrane Carlson

Att'y Docket: 11181.0027.NPUS00

Client Docket: HELX:027

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

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37 C.F.R. 1.8

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Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§

1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct the fees from Howrey Simon Arnold & White, LLP Deposit Account 01-2508/05111.0027.NPUS00.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



Christopher J. Buntel, Ph.D.
Reg. No. 44,573
Customer No. 23369
ATTORNEY FOR ASSIGNEE,
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July 21, 2003

Form PTO-1449 (modified)

Atty. Docket No.
11181.0027.NPUS00Serial No.
09/820,053List of Patents and Publications for Applicant's
INFORMATION DISCLOSURE STATEMENTApplicant
Donald R. OwenFiling Date:
March 28, 2001Group:
1653

(Use several sheets if necessary)

U.S. Patent Documents
*See Page 1*Foreign Patent Documents
*N/A*Other Art
See Page 3

U.S. Patent Documents

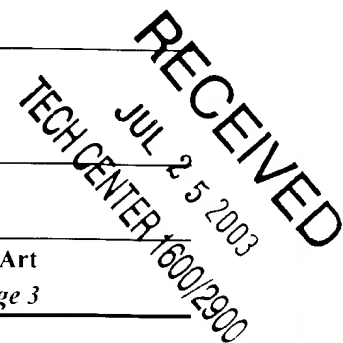
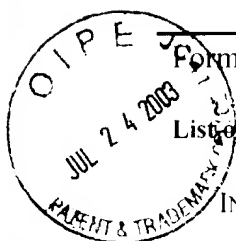
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing date of App.
	A1	6,566,334	05/20/03	McLaughlin	514	14	02/05/98
	A2						
	A3						
	A4						
	A5						
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EXAMINER:

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



Form PTO-1449 (modified)

Atty. Docket No.

11181.0027.NPUS00

Serial No.

09/820,053

List of Patents and Publications for Applicant's

Applicant

Donald R. Owen

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

March 28, 2001

Group:

1653

U.S. Patent Documents

See Page 1

Foreign Patent Documents

N/A

Other Art

See Page 3

Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	PCT Written Opinion dated December 13, 2002; pp. 1-5
	C2	Response to Written Opinion filed February 12, 2003; pp. 1-15
	C3	PCT Notification of Transmittal of International Preliminary Examination Report dated April 30, 2003; pp. 1-17
	C4	
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